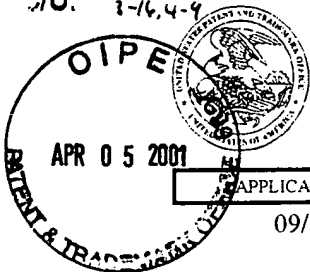


File Block  
 Attorney: *Griffin*  
 Docket/Matter N: *SSB0001*  
 Action: *My 2nd*  
 Due: *4-16-01*  
 O/U: *3-16-4-9*

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/749,742	12/28/2000	John W. Marshall	SSB0001-US

CONFIRMATION NO. 5548

## FORMALITIES LETTER



\*OC000000005746756\*

KILPATRICK STOCKTON LLP  
 SUITE 800  
 700 13TH STREET, N.W.  
 WASHINGTON, DC 20005

Date Mailed: 02/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

04/06/2001 WABRHAM1 00000045 09749742

01 FC:105

130.00 OP

FEB 28 2001

SSB0001-US



*Sectar #3 \$*  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the U.S. Application of

John MARSHALL, et al.

Group Art Unit: Not Assigned

Serial No.: 09/749,742

Examiner: Not Assigned

Filed: December 28, 2000

For: METHOD AND SYSTEM FOR FILE BLOCKING IN AN ELECTRONIC MESSAGING SYSTEM

**TRANSMITTAL OF RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

Commissioner for Patents  
Box: MISSING PARTS  
Washington, DC 20231

Sir:

Responsive to the Notice to File Missing Parts of Application -- Filing Date Granted mailed February 16, 2001, the following items are submitted herewith to complete the formal filing requirements for the above-identified application:

- (1) Declaration/Power of Attorney for this patent application executed by the inventors;
- (2) Recordation Form Cover Sheet and Assignment forms executed by inventors;
- (3) Checks for \$130.00 for surcharge fee pursuant to 37 CFR § 1.16(e), and \$40.00 fee for recordation of Assignment form(s); and
- (4) A copy of the Notice to File Missing Parts of Application - Filing Date Granted mailed on February 16, 2001.

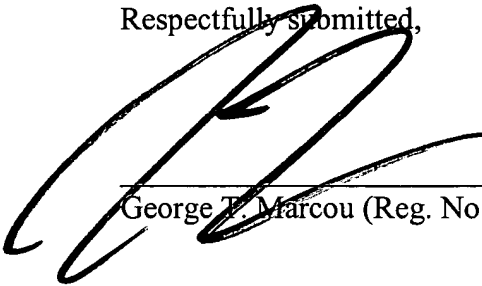
If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charge the same to Deposit Account No. 501458. An additional copy of this response is enclosed for this purpose.

Respectfully submitted,

Date:

4/5/9

KILPATRICK STOCKTON LLP  
Suite 900  
607 14th Street, N.W.  
Washington, DC 20005-2018  
(202) 508-5800

  
\_\_\_\_\_  
George T. Marcou (Reg. No. 33,014)